IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Mark L. Witten Confirmation No.: 2163

Serial No.: 10/553,232 Art Unit: 1654

Filed: October 3, 2006 Examiner: Unassigned

For: ACUTE RESPIRATORY Attorney Docket No.: 12241-021-999

SYNDROMES

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

STATEMENT UNDER 37 C.F.R. § 3.73(b)

ImmuneRegen Biosciences, Inc. (hereinafter "ImmuneRegen"), a corporation of the State of Delaware, having a place of business at 8767 E. Via De Ventura, Suite 190, Scottsdale, Arizona 85258, states that it is the assignee of the entire right, title, and interest in the above-identified U.S. patent application by virture of an assignment from the inventor(s). The assignment has been submitted to the United States Patent and Trademark Office for recordation, which a copy thereof is attached.

REVOCATION OF PREVIOUS AND POWER OF ATTORNEY AND NEW POWER OF ATTORNEY BY ASSIGNEE

ImmuneRegen hereby revokes any and all previous powers of attorney given in the above-identified application and hereby appoints the registered practitioners of JONES DAY at Customer Number 20583 to prosecute this application, to make and to transact all business in the Patent and Trademark Office connected therewith.

REQUEST FOR CHANGE OF CORRESPONDENCE ADDRESS

Please send all future correspondence and direct telephone calls to Customer Number **20583**.

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

ASSIGNEE: ImmuneRegen Biosciences, Inc.

Date: Dec 7 2007 Signature:

Typed Name: Michael K. Wilhelm

Position/Title: Chairman and Chief Executive Officer



UNITED STATES PATENT AND TRADEMARK OFFICE

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE.

JULY 27, 2005

PTAS

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SARAH A. KAGAN
BANNER & WITCOFF, LTD.
1001 G. STREET, N.W., SUITE 1100
WASHINGTON, D.C. 20001

DOCKETED

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UNITED STATES PATENT AND TRADEMARK OFFICE NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, MAIL STOP: ASSIGNMENT SERVICES DIVISION, P.O. BOX 1450, ALEXANDRIA, VA 223313

RECORDATION DATE: 03/01/2005

REEL/FRAME: 016316/0145 NUMBER OF PAGES: 4

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

WITTEN, MARK L.

DOC DATE: 02/23/2005

ASSIGNEE:

IMMUNEREGEN BIOSCIENCES, INC. 4021 N. 75TH STREET, SUITE 201 SCOTTSDALE, ARIZONA 85251

SERIAL NUMBER: 60433996 ∨

FILING DATE: 12/18/2002

PATENT NUMBER: ISSUE DATE:

TITLE: SUBSTANCE P INDUCES HAIR GROWTH

SERIAL NUMBER: 60462316 ✓

FILING DATE: 04/14/2003

PATENT NUMBER: ISSUE DATE:

TITLE: ACUTE RESPIRATORY DISTRESS SYNDROME

016316/0145 PAGE 2

V

SERIAL NUMBER: 60465266 FILING DATE: 04/25/2003

PATENT NUMBER: ISSUE DATE:

TITLE: ACUTE RESPIRATORY SYNDROMES

SERIAL NUMBER: 60565021 FILING DATE: 04/26/2004

PATENT NUMBER: ISSUE DATE:

TITLE: ANTI-AGING EFFECTS OF SUBSTANCE P

SERIAL NUMBER: 60622015 FILING DATE: 10/27/2004

PATENT NUMBER: ISSUE DATE:

TITLE: METHOD TO PROMOTE WOUND HEALING

SERIAL NUMBER: 10539734 √ FILING DATE:

PATENT NUMBER: ISSUE DATE:

PCT NUMBER: US0340259

TITLE: STIMULATION OF HAIR REGROWTH

SERIAL NUMBER: FILING DATE: 04/14/2004

PATENT NUMBER: ISSUE DATE:

PCT NUMBER: US0411399
TITLE: ACUTE RESPIRATORY SYNDROMES

MARCUS KIRK, EXAMINER
ASSIGNMENT DIVISION

OFFICE OF PUBLIC RECORDS



UNITED STATES PATENT AND TRADEMARK OFFICE

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE



102952886B

AUTHORIZATION TO CHARGE ADDITIONAL FEES TO DEPOSIT ACCOUNT

JULY 27, 2005

TO:

OFFICE OF FINANCE

FROM:

ASSIGNMENT DIVISION

OFFICE OF PUBLIC RECORDS

SUBJECT:

DEPOSIT ACCOUNT CHARGE(S)

CHARGE THE DEPOSIT ACCOUNT LISTED BELOW ADDITIONAL FEE(S) AS INDICATED BELOW. AUTHORIZATION TO CHARGE ADDITIONAL FEES HAS BEEN PROVIDED PER THE ATTACHED COVER SHEET, OR BY DIRECT CONTACT WITH THE CUSTOMER.

DEPOSIT ACCOUNT NUMBER: 190733

PROPERTY NUMBER: 60433996

RECORDING FEES:

FEE CODE: 8021 AMOUNT: 240

IF YOU HAVE ANY QUESTIONS REGARDING THIS NOTICE, YOU MAY CONTACT THE INDIVIDUAL WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723.

MARCUS KIRK, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

TRADEMARK SERVICE FEES: FEE CODE:

RECORDING FIRST MARK 8521
RECORDATION SECOND AND 8522

SUBSEQUENT MARK IN SAME DOCUMENT

LABOR CHARGES FOR SERVICES PER HOURS 8523

OR FRACTION THEREOF

UNSPECIFIED OTHER SERVICES 8524

PATENT SERVICE FEES:

RECORDING EACH PATENT ASSIGNMENT,	8021
AGREEMENT, OTHER PAPER, PER PROPERTY	
LABOR CHARGES FOR SERVICES THE HOUSE	8023
(\$30) OR FRACTION THEREOF	0.004
UNSPECIFIED OTHER SERVICES	8024

address(es)	below.

U.S. Department of COMMENT.

d States Patent and Trademark of

PATE To the Director of the U.S. Patents and Trademark Office 102952886

1. Name of conveying party(ies)/Execution Date(s):

Mark L. Witten

Execution Date(s) 02/23/2005 Additional name of conveying party(ies) attached?

Yes

No

3.	Natu	re of conveyance:		
	\boxtimes	Assignment		Merger
		Security Agreement		Change of Name
		Government Interest Assignment	ent	
		Executive Order 9424, Confirm	nator	y License

Name:	ImmuneRegen BioSciences, Inc.

Internal Address:	MAR U 1 200% - 12.
-	TRADEMS
Street Address:	4021 N. 75th Street, Suite 201

2. Name and address of receiving party(ies)

State: Arizona	
Country: U.S.	Zip <u>: 85251</u>

Additional Name(s) & address(es) attached? ☐ Yes ☒ No

4.	Application	number(s)	or	patent	number(s	s):

Α.	Patent Application No.(s)
	60/433,996
	60/462,316

60/465,266

Name: Sarah A. Kagan

Other ____

i nis document i	s being med	i togetner w	nun a new	application

City: Scottsdale

Additional numbers attached?

☐ Yes ☐ No

5.	Name and address of party to whom correspondence
	concerning this document should be mailed:

Internal Address:
Street Address: Banner & Witcoff, Ltd.
1001 G. Street, N.W., Suite 1100

6.	Total number	of applications	and	patents	involved:
0.	Total number	oi applications	anu	patents	mvorved.

,	Total fee (37 CFR 1 21/h) & 3 41)	980

	Authorized to be charged by credit card
\boxtimes	Authorized to be charged to deposit account

Enclosed
None required (government interest not affecting title)

City: Washington Zip: 20001 State: D.C.

Phone Numbe	r: 202.824.3000
Fax Number:	

202.824.3000	

8. 1	Payment	Information
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1.	Credit Card	Last 4 Numbers	
		Expiration Date	

b. Deposit Account Number	<u> 19-0733</u>
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Authorized User Name Banner & Witcoff, Ltd.

9.	Signature :	2010 0 MG	
		Signature	2
	Sarah A. Kag	an, Reg. No. 32,141	

Email Address: skagan@bannerwitcoff.com

March 1, 2005

Date Total number of pages including cover sheet, attachments, and documents

Name of Person Signing

ATTACHMENT 4(A)

4. Application number(s) or patent number(s):

A. Patent Application Nos. 60/565,02160/622,015PCT/US2003/040259PCT/US2004/011399

ASSIGNMENT

WHEREAS, I, Mark L. Witten, a citizen of the United States, residing at 7032 B. Rosewood Street, Tucson, Arizona 85710, have invented certain new and useful improvements in the following matters for which applications for Letters Patent have been filed on the dates indicated in the United States:

<u>Title</u> Substance P Induces Hair Growth	<u>Serial No.</u> 60/433,996	Filing Date December 18, 2002
Acute Respiratory Distress Syndrome	60/462,316	April 14, 2003
Acute Respiratory Syndromes	60/465,266	April 25, 2003
Anti-Aging Effects of Substance P	60/565,021	April 26, 2004
Method to Promote Wound Healing	60/622,015	October 27, 2004

and in other jurisdictions:

<u>Title</u> Stimulation of Hair Growth	<u>Serial No.</u> PCT/US2003/040259	Filing Date December 18, 2003
Acute Respiratory Syndromes	PCT/US2004/011399	April 14, 2004

WHEREAS, ImmuneRegen BioSciences, Inc., a Delaware corporation, having a place of business at 4021 N. 75th Street, Suite 201, Scottsdale, Arizona 85251, is desirous of acquiring the entire right, title and interest in and to the aforesaid invention and in and to any Letters Patent of the United States or any foreign country which may be granted therefor;

NOW THEREFORE, for good and valuable consideration, I, Mark L. Witten by these presents do sell, assign, and transfer unto immuneRegen BioSciences, Inc., its successors, legal representatives and assigns, my full right to the said invention as described in the said application, and my entire right, title and interest in and to any and all Letters Patent which may be granted therefor in the United States and its territorial possessions and in any and all foreign countries and in and to any and all divisions, reissues, continuations and extensions thereof;

AND I HEREBY authorize and request the Commissioner of Patents and Trademarks or any other proper officer or agency of any country to issue all said Letters Patent to said assignee;

AND I HEREBY warrant and covenant that I have full right to convey my entire interest herein assigned and that I have not executed and will not execute any instrument or assignment in conflict herewith;

AND I HEREBY agree to communicate to said assignee or its representatives any facts known to

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me respecting said invention, to execute all divisional, continuation, reissue and foreign applications, sign all lawful documents and make all rightful eaths relating to said invention, and to testify in any judicial or administrative proceeding and generally do everything possible to aid the said assignee to obtain and enforce said Letters Patent in the United States or any foreign country when requested so to do by said assignee.

IN WITNESS WHEREOF, I have hereunto set our hand and seal.

Date: 2-23-05 Inventor: Mark L. Atten, Ph.D.
Mark L. Witten

Form PTO-1595 (Rev. 09/04) €MB No. 0651-0027 (exp. 6/30/2005) United States Patent and Trademark Office RECORDATION FORM COVER SHEET PATENTS ONLY To the Director of the U.S. Patents and Trademark Office: Please record the attached documents or the new address(es) below. 1. Name of conveying party(ies)/Execution Date(s): 2. Name and address of receiving party(ies) (1) Mark L. Witten Name: ImmuneRegen BioSciences, Inc. (2) David T. Harris Internal Address: Execution Date(s) (1) 02/23/2005 (2) 02/25/05 Additional name of conveying party(ies) attached? Yes No Street Address: 4021 N. 75th Street, Suite 201 3. Nature of conveyance: Merger City: Scottsdale Change of Name ☐ Security Agreement State: Arizona ☐ Government Interest Assignment Zip: 85251 Country: U.S. □ Executive Order 9424, Confirmatory License Additional Name(s) & address(es) attached? ☐ Yes ☐ No Other This document is being filed together with a new application. 4. Application number(s) or patent number(s): Patent No.(s) Patent Application No.(s) 60/022,063 08/829,445 09/028,003 Additional numbers attached? ☑ Yes ☐ No 6. Total number of applications and patents involved: $\[\overline{\mathbb{N}} \]$ 5. Name and address of party to whom correspondence concerning this document should be mailed: Name: Sarah A. Kagan \$ 560 7. Total fee (37 CFR 1.21(h) & 3.41) Authorized to be charged by credit card Internal Address: Authorized to be charged to deposit account ☐ Enclosed Street Address: Banner & Witcoff, Ltd. None required (government interest not affecting title) 1001 G. Street, N.W., Suite 1100 City: Washington 8. Payment Information State; D.C. Zip: 20001 Last 4 Numbers ____ a. Credit Card Phone Number: 202.824.3000 Expiration Date b. Deposit Account Number 19-0733 Fax Number: Email Address: skagan@bannerwitcoff.com Authorized User Name Banner & Witcoff, Ltd. March 1, 2005 9. Signature: Date Signature Total number of pages including cover

sheet, attachments, and documents

Sarah A. Kagan, Reg. No. 32,141

Name of Person Signing

ATTACHMENT 4(A) and 4(B)

4. Application number(s) or patent number(s):

A. Patent Application Nos. 60/406,036 10/645,839 PCT/US97/13146 40464/97 (Australia) 97938049.0 (Europe) 2,261,885 (Canada) PCT/US2003/026250

B. Patent Nos.U.S. 5,945,508U.S. 5,998,376Australia 737201European 0957930



ASSIGNMENT

WHEREAS, WE, Mark L. Witten, a citizen of the United States, residing at 7032 E. Rosewood Street, Tucson, Arizona 85710; and David T. Harris, a citizen of the United States, residing at 4110 N. Alvernon Way, Tucson, Arizona 85718, have invented certain new and useful improvements in the following matters for which applications for Letters Patent have been filed on the dates indicated in the United States:

	<u>Title</u> Substance P Treatment for Immunostimulation	<u>Serial No.</u> 60/022,063	Filing Date July 23, 1996
	Substance P Treatment for Immunostimulation	08/829,445,	March 28, 1997
	Substance P Treatment for Immunostimulation	09/028,003	February 23, 1998
	Amelioration of Effects of Cigarette Smoke	60/406,036	August 27, 2002
	Amelioration of Effects of Cigarette Smoke	10/645,839	August 22, 2003
and in other jurisdictions:			
	Title Substance P Treatment for Immunostimulation	Serial No. PCT/US97/13146	<u>Filing Date</u> July 8, 1997
	Substance P Treatment for Immunostimulation	40464/97 Australia 737201	July 8, 1997 November 22, 2001
	Substance P Treatment for Immunostimulation	97938049.0 European 0957930	July 8, 1997 March 24, 2004
	Substance P Treatment for Immunostimulation	Canada 2,261,885	July 8, 1997
	Amelioration of Effects of Cigarette Smoke	PCT/US2003/026250	August 22, 2003
and that Letters Patent have issued as indicated:			
Potent No. Issue Date			Issue Date
	Title Substance P Treatment for Immunostimulation	US 5,945,508	August 31, 1999
	Substance P Treatment for Immunostimulation	US 5,998,376	December 7, 1999
	Substance P Treatment for Immunostimulation	Australia 737201	November 22, 2001
	Substance P Treatment for Immunostimulation	European 0957930	March 24, 2004

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> WHEREAS, ImmuneRegen BioSciences, Inc. a Delaware corporation, having a place of business at 4021 N. 75th Street, Suits 201, Scottsdale, Arizona 85251, is desirous of acquiring the entire right, title and interest in and to the aforesaid inventions and in and to any Letters Patent of the United States or any foreign country which may be granted therefor,

> NOW THEREFORE, for good and valuable consideration, WE, Mark L. Witten and David T. Hurris, by these presents do sell, useign, and transfer unto ImmuneRegen BioSciences, Inc. its successors, legal representatives and assigns, our full right to the said inventions as described in the said applications, and our entire right, title and interest in and to any and all Letters Patent which may be granted therefor in the United States and its territorial possessions and in any and all foreign countries and in and to any and all divisions, reissues, continuations and extensions thereof;

> AND WE HEREBY sutherize and request the Commissioner of Patents and Trademarks or any other proper officer or agency of any country to issue all said Letters Patent to said sasignee;

> AND WE HERRBY werrant and covenant that we have full right to convey our entire interest herein assigned and that we have not executed and will not execute any instrument or assignment in conflict herewith;

AND WE HEREBY agree to communicate to said assignee or its representatives any facts known to us respecting said invention, to execute all divisional, continuation, reissue and foreign applications, sign all lawful documents and make all rightful caths releasing to said invention, and to testify in any judicial or administrative proceeding and generally do everything possible to aid the said assignee to obtain and enforce said Letters Patent in the United States or any foreign country when requested so to do by said assignee.

IN WITNESS WEIEREOF, WE have hereused set our hand and scal.

Date: 2-23-05 Inventor: Mark L. Fitter Ph.D.

Date: 3/35/05 Inventor: David T. Harris

David T. Harris

ASSIGNMENT

WHEREAS, I, Mark L. Witten, citizen of United States, residing in Tucson, Arizona; have invented certain new and useful improvements in "Treatment of Skin Diseases" for which an application for Letters Patent of the United States was filed on January 12, 2005, and accorded Serial No. 60/642,996 and

WHEREAS, ImmuneRegen BioSciences, Inc., a corporation duly organized under the laws of the State of Delaware having a place of business at 4021 N. 75th Street, Suite 201, Scottsdale, Arizona, is desirous of acquiring the entire right, title and interest in and to the aforesaid invention and in and to any Letters Patent of the United States or any foreign country which may be granted therefor;

NOW THEREFORE, for good and valuable consideration, I, Mark L. Witten, by these presents do sell, assign, and transfer unto ImmuneRegen BioSciences, Inc., its successors, legal representatives and assigns, our full right to the said invention as described in the said application, and our entire right, title and interest in and to any and all Letters Patent which may be granted therefor in the United States and its territorial possessions and in any and all foreign countries and in and to any and all divisions, reissues, continuations and extensions thereof;

AND I HEREBY authorize and request the Commissioner of Patents and Trademarks or any other proper officer or agency of any country to issue all said Letters Patent to said assignee;

AND I HEREBY warrant and covenant that we have full right to convey our entire interest herein assigned and that we have not executed and will not execute any instrument or assignment in conflict herewith;

AND I HEREBY agree to communicate to said assignee or its representatives any facts known to us respecting said invention, to execute all divisional, continuation, reissue and foreign applications, sign all lawful documents and make all rightful oaths relating to said invention, and to testify in any judicial or administrative proceeding and generally do everything possible to aid the said assignee to obtain and enforce said Letters Patent in the United States or any foreign country when requested so to do by said assignee.

IN WITNESS WHEREOF, we have hereunto set our hand and seal.

Date: 11-7-05

Inventor: Mark L. Hitter